

# The GAPPS Trigger Tool

*Global Assessment of Pediatric Patient Safety*

*MA Child Health Quality Coalition Meeting  
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*On behalf of the GAPPS Steering Committee:*

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# Patient Safety

- *“To Err is Human”*, IOM, 1999
  - 44,000 to 98,000 annual deaths from adverse events
  - 6<sup>th</sup> to 9<sup>th</sup> leading cause of death nationwide
  - Over 15 million injuries per year
- Large investment of federal and private funds
- Initiatives by Joint Commission, multiple private and federal organizations to improve safety



# Is Healthcare Getting Safer?

Charles Vincent et al. *BMJ* November 2008

ANALYSIS

## Is health care getting safer?

Despite numerous initiatives to improve patient safety, we have little idea whether they have worked. **Charles Vincent and colleagues** argue that we need to develop systematic measures

Patient safety has been high and international agenda in almost a decade. In the US, reviews of case records have 10% of patients experience a while in hospital,<sup>1,2</sup> a figure lar studies around the world efforts have been made to im it is natural to ask whether t been well directed. Are patier answer to this simple questio sive. Although some aspects ficult to measure for technical preventability for instance), t is that measurement and eva been high on the agenda. W lack of reliable information o ity of care is hindering impr across the world.

The principal approach to

Considerable efforts have been made to improve patient safety and it is natural to ask...are patients any safer? The answer to this simple question is curiously elusive...we believe that the lack of reliable information on safety and quality of care is hindering improvement in safety across the world.



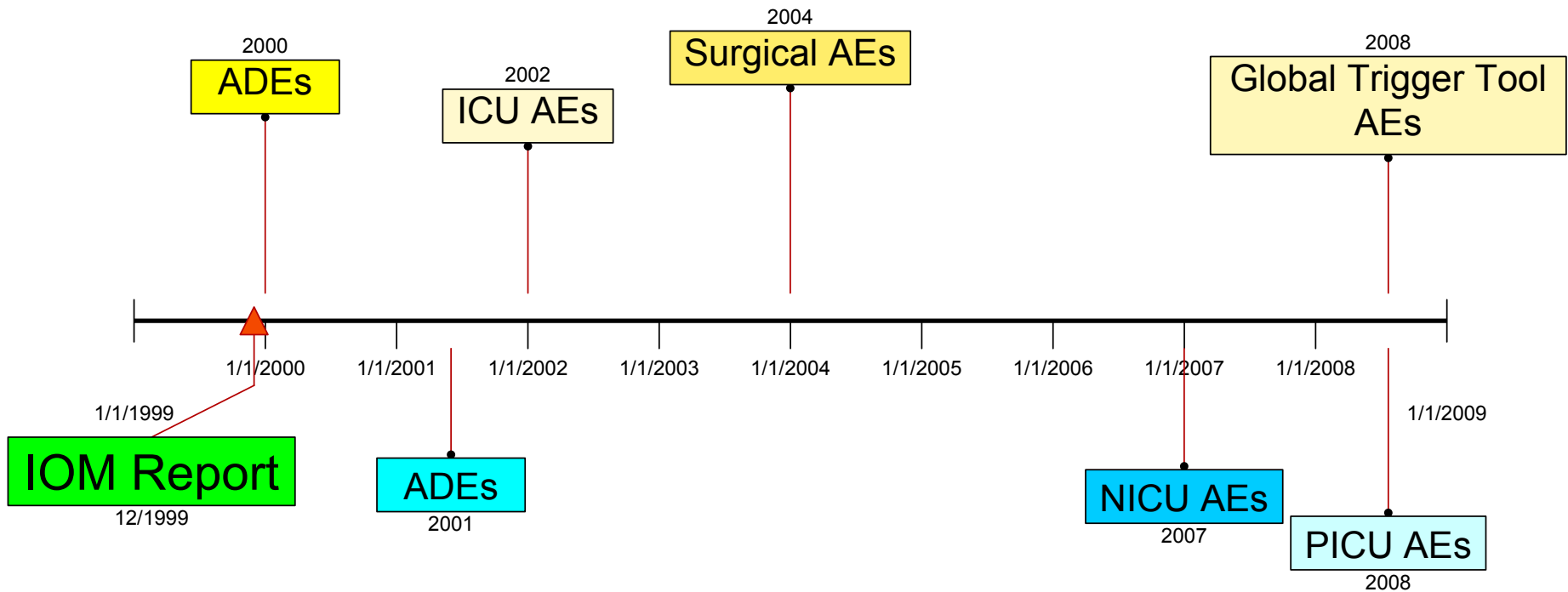
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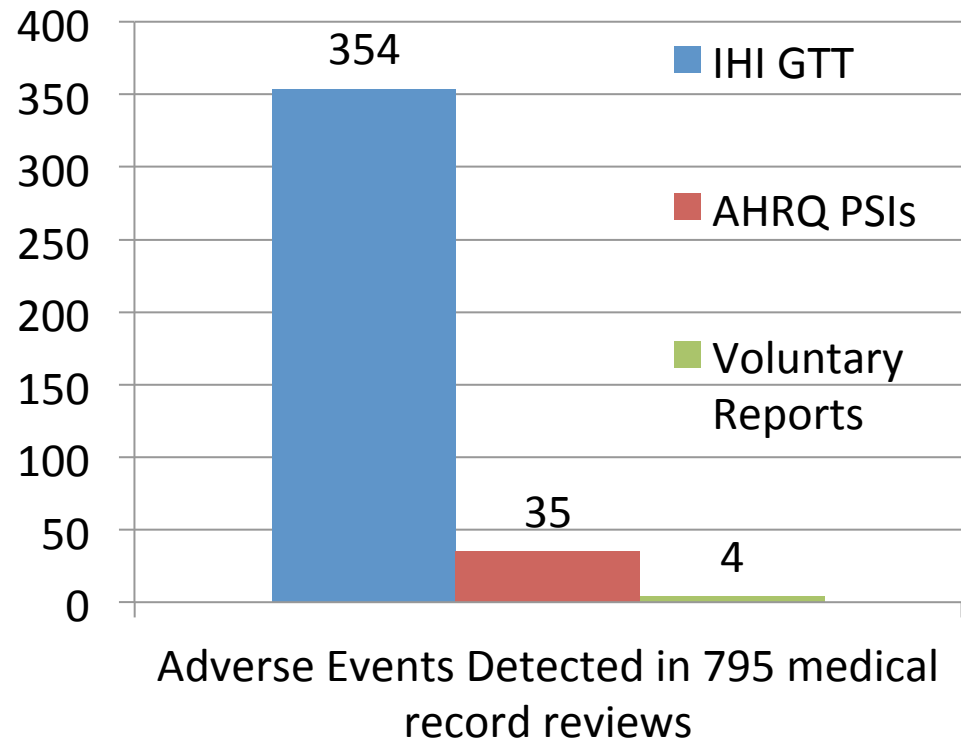
# The Knowledge Gap

- Multiple snapshots in time...
- Multiple populations...
- Inconsistent methodologies



# Approaches to Measuring AEs

- **Voluntary self reporting**
- **Billing data analysis (e.g. AHRQ PSIs)**
- **Unstructured chart review**
- **Trigger Tools**



*Classen et al., Health Affairs 2011; 30: 581-9*



# Trigger Tool Method

## 1. Review Random Sample of Medical Records

- Nurse reviews medical record looking for triggers
  - e.g., use of Narcan, transfer to the ICU, positive blood culture after 48 hours)
- Flag Specific Events as suspected AEs
  - e.g., apparent morphine overdose
- Brief description recorded, along with circumstances of event

## 2. Events Reviewed and Classified by Physician Reviewers

- Make determination about whether AE occurred
- Rate severity
- Rate preventability



# The North Carolina Patient Safety Study

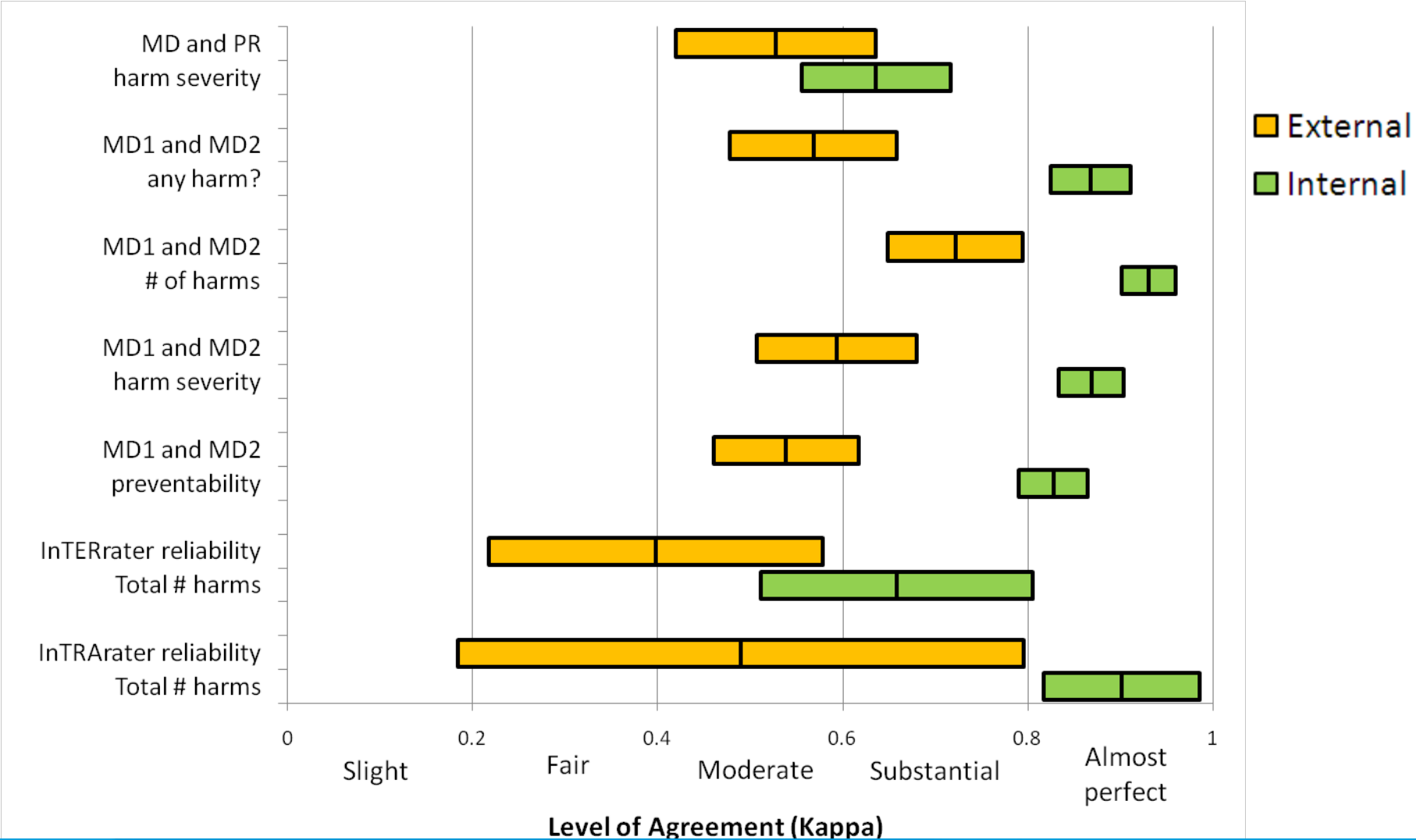
Sharek PJ, Parry G, Goldmann D, Bones K, Hackbarth A, Resar R, Griffin FA, Rhoda D, Murphy C, Landrigan CP. *Health Serv Res* 2010

Landrigan CP, Parry G, Bones CB, Hackbarth AD, Goldmann DA, Sharek PJ. *New Engl J Med* 2010

- Longitudinal study of random sample of adult patients in 10 North Carolina adult hospitals
- ***Specific Aims***
  - To Assess inter-rater reliability, intra-rater reliability, sensitivity, specificity of IHI Global trigger tool
  - To Determine if there is a change in rates of harm due to medical care over time in North Carolina (2002-2007)

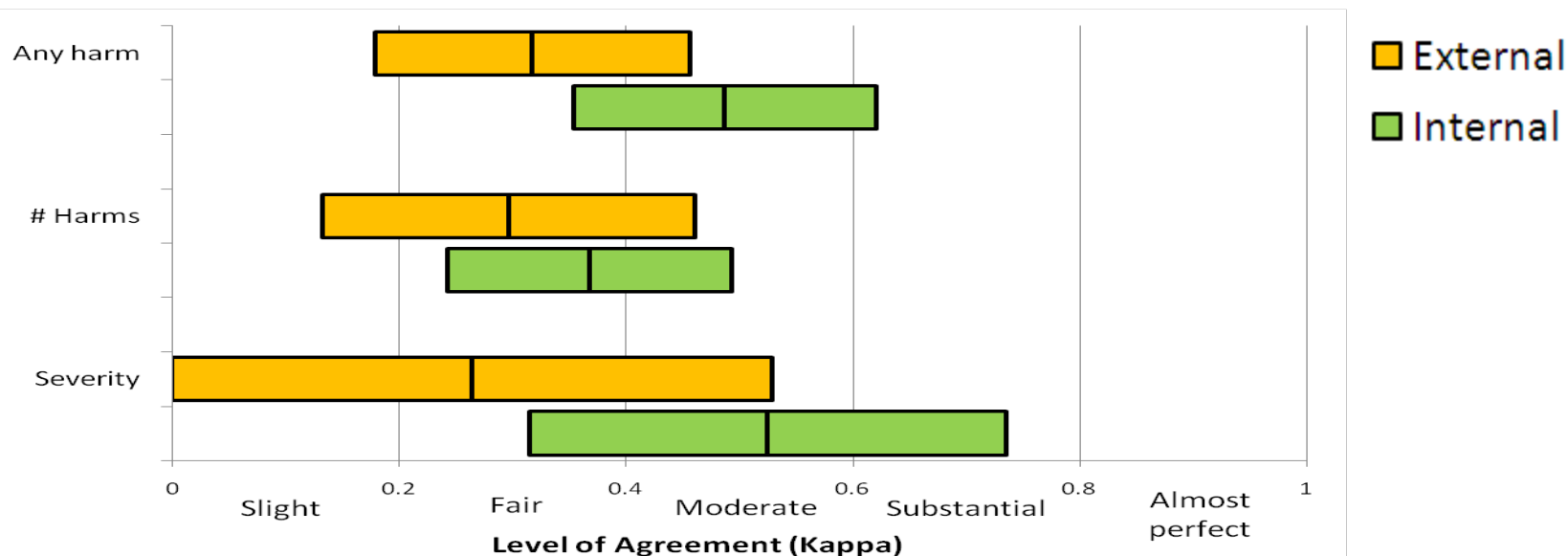


# Results- Within Team Comparisons





# Agreement between External/Internal and Experienced Reviewers



Internal team agreed with Experienced Reviewers = 81%

External team agree with Experienced Reviewers = 75%

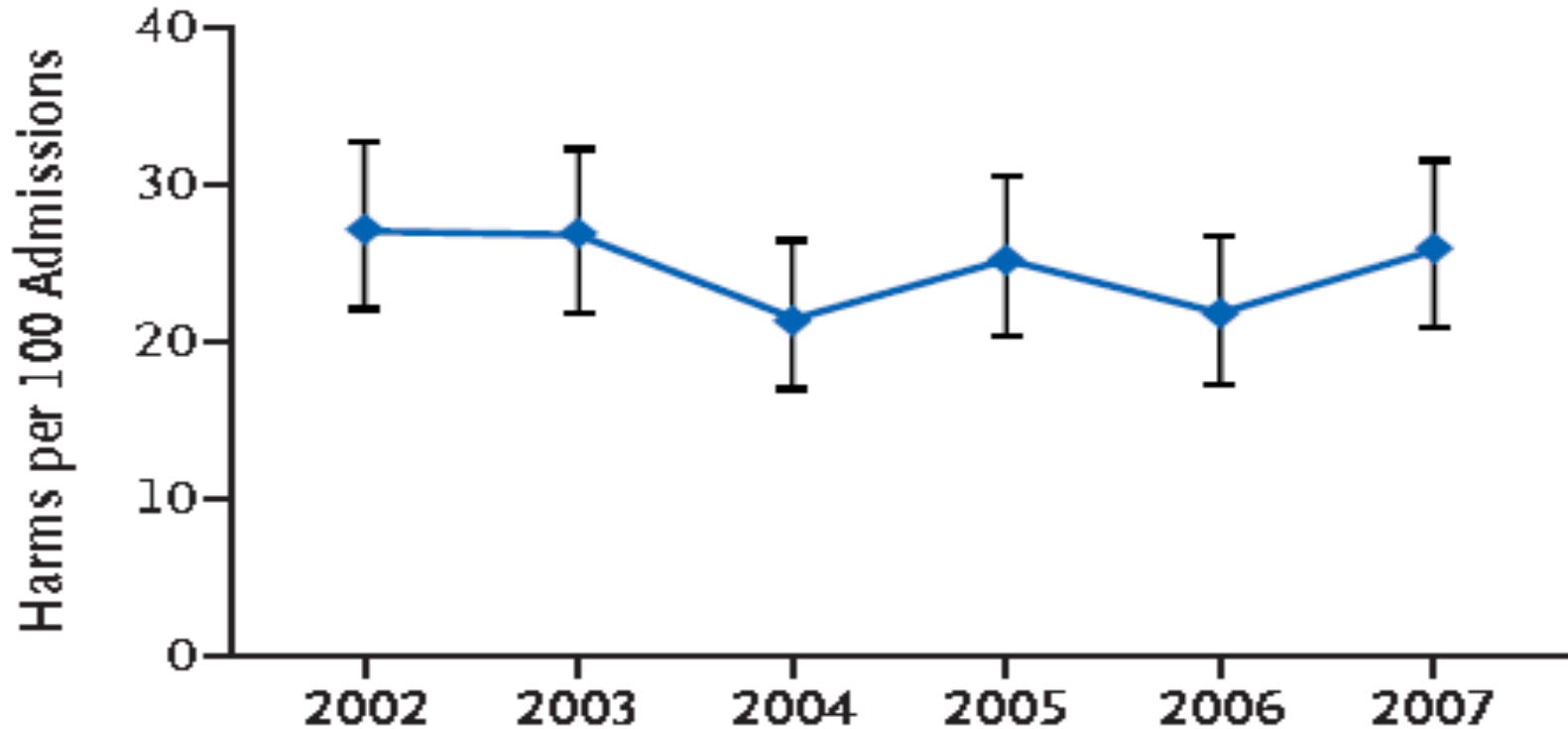
Kappa: Internal team/ Experienced Reviewers = 0.49

Kappa: External team/ Experienced Reviewers = 0.32



# Trends in Adverse Events Over Time

## A Internal Reviewers, All Harms



Landrigan et al. NEJM 2010; 363: 2124-34



# GAPPS: A Pediatric Trigger Tool



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# Pediatric Quality Measures Program (PQMP)

- **AHRQ/CMS initiative funded by CHIPRA**
  - To increase the portfolio of evidence-based, consensus-approved pediatric quality measures available to public and private purchasers, providers, and consumers
- **7 Centers of Excellence (CoEs) across U.S.**
- **Boston Children's Hospital Center of Excellence for Pediatric Quality Measurement (CEPQM)**
  - Led by Mark Schuster, MD, PhD



# PQMP Measure Development Process

Step 1: AHRQ/CMS assigns measures to CoEs



Step 2: CoEs develop and test measures



Step 3: CoEs deliver final measures with support materials to AHRQ/CMS



Step 4: AHRQ/CMS expert panel reviews measures



Step 5: AHRQ/CMS makes measures available for state Medicaid/CHIP reporting and for general use



# Boston Children's CEPQM

- **Responsible for five pediatric quality measures, including a global patient safety tool**
  - Goal: To measure harm in hospitals due to medical care
    - Phase 1: Develop a draft tool to measure inpatient harm
    - Phase 2: Test the reliability of the tool in a sample of hospitals nationwide



# Background: Prior Work

- Initial version of Pediatric Trigger Tool developed by team convened by the Children's Hospital Association
  - Leaders: David Stockwell, Paul Sharek, David Classen, Hema Bisarya
- Initial tool developed by CHA team melded adult and prior pediatric tools, selecting from over 100 published triggers / triggers in use at initial sites
- Expert panel process done by CHA through which 51 triggers identified for inclusion in Pilot Trigger Tool
- Pilot study at 6 institutions conducted using initial Trigger Tool (100 chart reviews each)
  - *Analyses currently underway*



# Development of GAPPS Tool

- GAPPS Team Assembled
  - **Steering Committee:** Chris Landrigan (co-lead), David Stockwell (co-lead), Hema Bisarya, Sangeeta Rana, Raj Srivastava, David Classen, Paul Sharek, Mark Schuster (CEPQM PI)
- Expert Stakeholder Panel Assembled
  - 9 Member Panel representing AAFP, AAP, ANA, APA, CAPS, IHI, Joint Commission, NPSF, and SAHM
  - RAND/UCLA appropriateness method used (validity and feasibility of each candidate trigger rated) to consider candidate triggers (n=108) and develop Final Trigger List (54 triggers ultimately approved)
- Initiated national testing of GAPPS Trigger Tool through PRIS network





# National Study

- Aims:
  1. To test the performance characteristics of the GAPPS tool across a sample of hospitals nationwide
  2. To evaluate trends over time in rates of pediatric AEs
- Review of 3840 charts from 16 hospitals by hospital based reviewers
- Hospital sampling:
  - 16 hospitals
  - Teaching and Non-Teaching
  - All 4 major US geographic areas represented
- Medical Record Sampling and Review:
  - 10 records per quarter, randomly selected, over 24 quarters (2007-2012)= total 240/hospital
  - Subjects presented in random order (i.e. not chronological order)
  - Reviewers limited to 30 min per chart



# Trigger / AE Detection Methodology

- Primary reviewer (usually RN) reviews charts for triggers
- Positive trigger prompts review for suspected harms
- All suspected harms presented to 2 MD reviewers
- MD reviewers independently determine if harm occurred or not; rate severity; rate preventability
  - Pre-discussion Kappa calculated



# Testing Tool Performance

- Primary Review at hospitals Inter-rater reliability: 10% subset of 24 charts per site reviewed by 2<sup>nd</sup> primary reviewer at site
- All suspected events reviewed by two independent physicians
- External Audit: 24 charts per hospital reviewed by expert trigger reviewers



# Analysis: Trends Over Time

- Base model
  - Poisson Regression (used to measure rate-based outcomes)
  - Quarter included as variable to allow for trending over time
  - Accounted for hospital-level clustering
- Adjusted model
  - Will control for sex, age, race, ethnicity, insurance group, CCC

